

CATHERINE A. ROGERS

PENN STATE LAW,
324 KATZ BUILDING
STATE COLLEGE, PENNSYLVANIA 16802
car36@psu.edu

QUEEN MARY, UNIVERSITY OF LONDON
67-69 LINCOLN'S INN FIELDS
LONDON WC2A 3JB

ACADEMIC APPOINTMENTS

PENN STATE LAW, State College, Pennsylvania

Professor of Law, Fall 2008-Present; *Affiliate Faculty, School of International Affairs* (2008-Present);
sabbatical 2011-12; *Paul & Marjorie Price Faculty Scholar*, 2012-Present

Courses: International Commercial Arbitration, Global Lawyering, Civil Procedure, Professional
Responsibility, International Litigation and Arbitration

QUEEN MARY, UNIVERSITY OF LONDON, London, England

Chair of Ethics, Regulation & The Rule of Law at Center for Commercial Law Studies,
Co-Director of Institute for Regulation & Ethics, September 2013-Present (partial appointment)

LOUISIANA STATE UNIVERSITY LAW CENTER, Baton Rouge, Louisiana

Richard C. Cadwallader Professor of Law, Fall 2006-2008, *Richard C. Cadwallader Associate Professor of
Law*, 2004-2005, *Associate Professor of Law*, 2003-2004, *Assistant Professor of Law*, 2000-2003.

Courses: International Litigation & Arbitration, International Law Seminar, Public International Law.

UNIVERSITÀ COMMERCIALE LUIGI BOCCONI, Milan, Italy

Professor of Law (ancillary), 2007-2010 Spring 2018; *Tenure-Track Assistant Professor*, Spring Semesters
2005-2007; *Long-Term Visiting Associate Professor of Law*, Spring Semesters 2002-2004

Courses: *Law Degree Program:* Introduction to American Commercial Law; *Degrees (undergraduate
and graduate programs) in International Economics and Management:* Comparative Business Law; *PhD
program in International Economic Law:* International Arbitration

OTHER TEACHING

INSTITUTO TECNOLÓGICO AUTÓNOMO DE MÉXICO (ITAM), Mexico City, Mexico, *Visiting Professor in
International Arbitration Summer School*, June 2014-2018

EUROPEAN PATENT OFFICE SUMMER ACADEMY, with Università Bocconi, *Visiting Professor*, Alicante,
Spain, July 2008; and NYU, New York, August 2010, Cleveland, Ohio, August 2018

PEPPERDINE SCHOOL OF LAW, Malibu, California

Visiting Professor, Seminar on Ethics in International Arbitration, January 2015, 2016 & 2017

GENEVA LL.M. IN DISPUTE SETTLEMENT (MIDS)

Visiting Professor, Ethics in International Arbitration, Geneva LL.M. in International Dispute
Resolution, Geneva Switzerland, May 2015

SINGAPORE ARBITRATION ACADEMY, Singapore, *Visiting Professor*, December 2012

UNIVERSITÀ DEGLI STUDI DELL'INSUBRIA, Como, Italy & **UNIVERSITÀ di BOLOGNA**

Visiting Professor, Summers 2002-2006; *American Contract Law in Comparative Perspective*

CATHERINE A. ROGERS

PROFESSIONAL APPOINTMENTS & PUBLIC SERVICE

Founder and CEO, *Arbitrator Intelligence* (NGO (2014-2019) and Legal tech startup (2019-Present))
Special Expert Consultant to UNCITRAL Working Group III (at the invitation of Anna Joubin-Bret,
Director of the International Trade Law Division, 2017-Present
Reporter, RESTATEMENT THIRD OF THE U.S. LAW OF INTERNATIONAL COMMERCIAL ARBITRATION,
American Law Institute, 2007-Present
Co-Chair (with William W. Park and Stavros Brekoulakis), ICCA-Queen Mary Task Force on Third-Party
Funding, Spring 2013-2018
Member, International Board of Advisors, Vienna International Arbitration Centre, 2015-17
Member, Academic Forum on Investor-State Dispute Settlement, Geneva Center for International Dispute
Settlement, 2017-Present
Member, Advisory Board of Investment Claims, Oxford University Press, 2017-Present
Member, Board of Advisors of the Lagos Court of Arbitration, Lagos, Nigeria, 2016-Present
Member, Board of Advisors, New York International Arbitration Center, 2014-Present
Member of the Court of Arbitration of the Jerusalem Arbitration Centre (JAC) (appointed by the ICC
Palestine), 2014-2016
Convenor, Inaugural Detlev Vagts Roundtable on Transnational Law, American Society of
International Law, Roundtable on Global Legal Ethics, 2015-16
Member, Board of Directors, International Judicial Academy, 2012-2017
Member, U.S. State Department Delegation, UNCITRAL Online Dispute Resolution
Working Group II, 2012-2015
Expert Consultant, USAID-sponsored program on arbitrator ethics reforms,
Tbilisi, Georgia, June 2014
Legal Expert, OECD, 16th Freedom of Investment Roundtable, Spring 2012
Member, International Bar Association (IBA) Task Force on Guidelines for Party Representatives,
2008-2013
Member, Academic Council, INSTITUTE FOR TRANSNATIONAL ARBITRATION (ITA), 2005-13,
2015-Present.
Co-Chair, ASIL-ITA Conference, *The Future of Investment Arbitration*, 2007
Co-Chair, ITA Annual Workshop, *Confronting Ethical Issues in International Arbitration*, Dallas,
Texas, June 2009
Co-Chair, 6th Annual Americas Workshop, October 15, 2010, Bogotá, Columbia
Consultant for ICC Palestine on Capacity-Building for Palestinian lawyers, judges and arbitrators
(2011-1015)
Member, AMERICAN SOCIETY OF INTERNATIONAL LAW *Task Force on Global Legal Ethics* chaired by
Professor Detlev Vagts, 2006-08
Member, Arbitration Committee, *CPR International Institute for Conflict Prevention & Resolution*,
2007-2010
Special Consultant on Issues of Ethics for Reinsurance Arbitrators, ARIAS-US, 2007-2009

CATHERINE A. ROGERS

PUBLICATIONS

BOOKS

CLASSICS IN INTERNATIONAL ARBITRATION (Brill) (forthcoming, 2020) (edited volume in Series CLASSICS IN INTERNATIONAL LAW edited by W. Michael Reisman)

ETHICS IN INTERNATIONAL ARBITRATION (Oxford University Press, 2014)

Sample Book Reviews:

- Carrie Menkel-Meadow, *Ethical Ordering in Transnational Legal Practice? A Review of Catherine A. Rogers's Ethics in International Arbitration*, 29 GEO. J. LEGAL ETHICS 207 (2015)
- Fabien Gélinas and Jonathan Brosseau, *Book Review*, ARBITRATION INTERNATIONAL 1 (2016)
- Jack C. Coe, Jr., *Book Review*, 21 No. 4 DISP. RESOL. MAG. 18 (2015)

THE FUTURE OF INVESTMENT ARBITRATION (editor, with Roger P. Alford) (Oxford University Press, 2009)

COMPLEX LITIGATION: LITIGATING FOR SOCIAL CHANGE (casebook with Kevin Johnson and John V. White) (Carolina Academic Press, 2008) (chapters on international litigation & arbitration)

BOOK CHAPTERS

Does International Arbitration Enhance or Enfeeble Local Legal Institutions? (with Christopher Drahozal) in EMPIRICAL PERSPECTIVES ON THE LEGITIMACY OF INTERNATIONAL INVESTMENT TRIBUNALS (Cambridge University Press, forthcoming 2020)

Legal Ethics in Cross-Border Contracts, INTERNATIONAL COMMERCIAL CONTRACTS (forthcoming 2020, Oxford University Press)

Third Party Funding in Investment Arbitration (with Stavros Brekoulakis) in (Springer 2020)

Transparency in Arbitrator Selection, in AUSTRIAN YEARBOOK OF ARBITRATION (Kluwer, 2015)

Arbitrator Selection, Transparency and Stakeholder Interests, 46 VICTORIA U. WELLINGTON L. REV. 1179 (2015)(write up of Annual New Zealand Dispute Settlement Lecture of 2013)

Arbitrator Challenges: Too Many, or Not Enough?, in CONTEMPORARY ISSUES IN INTERNATIONAL ARBITRATION AND MEDIATION: THE FORDHAM PAPERS (2014) (with Idil Tumer)

The Ethics of International Arbitrators, in THE LEADING ARBITRATORS' GUIDE TO INTERNATIONAL ARBITRATION (with Jeff Jeng)(2nd edition) (Juris 2014)

Transnational Litigation and Ethics, in INTERNATIONAL LITIGATION AND STRATEGIES (with Detlev Vagts) (2nd edition) (Barton Legum, ed., 2014)

Guerilla Tactics and Ethics, in INTERNATIONAL ARBITRATION: ETHICS, PRACTICE AND REMEDIES (Günther Horvath and Stephan Wilske, eds., 2013)

The Restatement as "New Rules," in CONTEMPORARY ISSUES IN INTERNATIONAL ARBITRATION AND MEDIATION: THE FORDHAM PAPERS (2012)

Transnational Litigation and Ethics, in INTERNATIONAL LITIGATION AND STRATEGIES (with Detlev Vagts) (Barton Legum, ed., 2012)

International Arbitration's Public Realm, in CONTEMPORARY ISSUES IN INTERNATIONAL ARBITRATION AND MEDIATION: THE FORDHAM PAPERS (2011)

CATHERINE A. ROGERS

The Ethics of Advocacy, in THE ART OF ADVOCACY IN INTERNATIONAL ARBITRATION (Juris Publishing 2010)

Cross-Border Bankruptcy as a Model for Regulation of International Attorneys, in MAKING TRANSNATIONAL LAW WORK IN A GLOBAL ECONOMY: ESSAYS IN HONOUR OF DETLEV VAGTS (Cambridge University Press, 2010)

The Ethics of International Arbitrators, in THE LEADING ARBITRATORS' GUIDE TO INTERNATIONAL ARBITRATION (Juris Publishing, 2008)

ARTICLES & ESSAYS

Apparent Dichotomies, Covert Similarities; A Response to Joost Pauwelyn, 109 AJIL Unbound 294 (2016) (invited response)

International Arbitration, Judicial Education & Legal Elites, 2015 MO. J. OF DISP. RES. 71 (symposium contribution) (2015)

Third-Party Funding In International Arbitration: The ICCA Queen-Mary Task Force, AUSTRIAN YEARBOOK ON INTERNATIONAL ARBITRATION (2014) (with William W. "Rusty" Park)

Fraport v. Philippines, ICSID, and Counsel Disqualification: The Power and the Praxi: Fraport AG Frankfurt Airport Services Worldwide v. The Republic of the Philippines, Decision on Disqualification of Counsel, 18 September 2008, (case note) JOURNAL OF WORLD INVESTMENT AND TRADE (2014) (with Alexander Wiker)

A Reply to "Hollow Spaces," 62 BUFFALO LAW REV. 177 (2014)(invited response) (with George Bermann, Jack C. Coe and Christopher Drahozal)

The Politics of Investment Arbitrators, 12 SANTA CLARA J. INT'L L. 223 (symposium contribution) (2013)

When Bad Guys Are Wearing White Hats, 1 STANFORD J. COMPLEX LIT. 487 (symposium contribution) (2013)

Restating the U.S. Law of International Commercial Arbitration, 113 PENN ST. L. REV. 1333 (2009) (with George Bermann, Jack C. Coe and Christopher Drahozal)

Lawyers Without Borders, 30 U. PENN. INT'L L. REV. 1035 (2009)

- Reprinted in ANDREW KAUFMAN & DAVID B. WILKINS, PROBLEMS IN PROFESSIONAL RESPONSIBILITY (5th ed. 2009)

The Arrival of the Have-Nots in International Commercial Arbitration, 8 NEVADA L.J. 341 (2007) (symposium contribution)

Transparency in International Commercial Arbitration, 54 KANSAS L. REV. 1301 (2006) (symposium contribution)

Arbitrating Antitrust Claims in the United States and Europe, (with Niccolò Landi), 133-14 CONCORRENZA E MERCATO 455 (2005-06)

The Vocation of International Arbitrators, 20 AM. U.J. INT'L L. 959 (2005)

CATHERINE A. ROGERS

ARTICLES & ESSAYS (cont.)

Regulating International Arbitrators: A Functional Approach to Developing Standards of Conduct, 41 STAN. INT'L L. REV. 53 (2005)

- Selected for the 2004 Stanford-Yale Junior Faculty Forum in the category of Law and Humanities

Proposals to Expel Palestinians from the Occupied Territories as Catalyst for a Civil Adjudication Campaign, 7 IOWA J. GENDER RACE & JUST. 167 (2003)

Context and Institutional Structure in Attorney Discipline: Developing an Enforcement Regime for Ethics in International Arbitration, 39 STAN. INT'L L. REV. 1 (2002)

- Earlier version, then combined with companion article *Fit and Function*, selected for 2001 Stanford Yale-Junior Faculty Forum in category of Private International Law
- Recipient, with companion article *Fit and Function*, of the 20th Annual CPR Professional Article Award for 2002

Fit and Function in Legal Ethics: Developing a Code of Attorney Conduct for International Arbitration, 23 MICH. INT'L L.J. 341 (2002)

- Reprinted in CARRIE MENKEL-MEADOW *ET AL.*, APPROPRIATE DISPUTE RESOLUTION: BEYOND THE ADVERSARIAL MODEL FOR DISPUTE AND CONFLICT (2004)

Gulliver's Troubled Travels, or The Conundrum of Comparative Law, 67 GEO. WASH. L. REV. 159 (1998) (review essay)

The Role of Arbitration in Resolving Transnational Disputes: A Survey of Trends in the People's Republic of China, 15 BERK. J. INT'L L. 329 (1997) (with Frederick Brown)

"And to the Republic for Which It Stands": Guaranteeing a Republican Form of Government, 23 HASTINGS CONST. L.Q. 1057 (1996) (with David L. Faigman)

PROFESSIONAL REPORTS AND RESTATEMENT

PAPER FOR ACADEMIC COUNCIL WORKING GROUP III

ICCA-QUEEN MARY TASK FORCE ON THIRD-PARTY FUNDING IN INTERNATIONAL ARBITRATION JOINT REPORT (ICCA Reports Series, 2018) (co-editor and co-author with Stavros Brekoulakis and William Park)

RESTATEMENT OF THE U.S. LAW OF INTERNATIONAL COMMERCIAL AND INVESTOR-STATE ARBITRATION

AMERICAN SOCIETY OF INTERNATIONAL LAW TASK FORCE REPORT ON *Global Legal Ethics*, (primary author of draft report) 2008

WORKS IN PROGRESS

The Devil's Arbitrator (making an affirmative case for the party-appointed arbitrator)

No Time to Die (essay on the role of professional conduct in the international arbitration regime)

Randomness as Fairness (examining tradeoffs between accountability and independence in adjudicatory models, and the role of random case assignment in ensuring fairness)

OTHER SELECTED PUBLICATIONS

The Key to Unlocking the Diversity Paradox in International Arbitration: Arbitrator Intelligence, <http://arbitrationblog.kluwerarbitration.com/2017/12/27/on-arbitrators/>

Arbitrator Intelligence -- An Interview with its Founder and Director, Professor Catherine Rogers, 1 J. TECH. INT'L ARB.87 (2015)

Entry as Founder of *Arbitrator Intelligence*, in Gender-Oriented Implementation of ADR Instruments in the Western Balkans (financed by the German Federal Ministry for Economic Cooperation and Development and Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH (2015).

Arbitrator Ethics entry in ENCYCLOPEDIA OF INTERNATIONAL ECONOMIC LAW (Edward Elgar 2017).

Arbitrator Intelligence: The Pilot Project and Beyond, Kluwer Arbitration Blog, <http://kluwerarbitrationblog.com/blog/2015/01/20/arbitrator-intelligence-the-pilot-project-and-beyond/> (January 20, 2015)

The US Law of International Commercial Arbitration Restated, 21 No. Disp. Res. Mag. 8 (2014) (with Ank Santens and Suyash G. Paliwal)

The Jerusalem Arbitration Center, 106 Am. Soc'y Int'l L. Proc. 270 (2012)

The International Arbitrator Information Project: From an Ideation to Operation, Kluwer Arbitration Blog Post, <http://kluwerarbitrationblog.com/blog/2012/12/10/the-international-arbitrator-information-project-from-an-ideation-to-operation/> (December 10, 2012)

The International Arbitrator Information Project: An Idea Whose Time Has Come, Kluwer Arbitration Blog Post, <http://kluwerarbitrationblog.com/blog/2012/08/09/the-international-arbitrator-information-project-an-idea-whose-time-has-come/> (August 9, 2012)

Peace, One Dispute at a Time: The Jerusalem Arbitration Center, NEW YORK DISPUTE RESOLUTION LAWYER (Spring 2012)

Peace through Commerce?: The Idea of the Jerusalem Arbitration Center, International Law Grrls Blogpost, <http://www.intlawgrrls.com/2011/11/peace-through-commerce-idea-of.html> (Nov. 4, 2011)

International Arbitration Needs Enforceable Conduct Rules, 21 ALTERNATIVES TO HIGH COST LITIG. 97 (2003)

CATHERINE A. ROGERS

LEGAL EXPERIENCE

ORRICK, HERRINGTON & SUTCLIFFE LLP, San Francisco, California

Attorney, 1997-1999

Duties included: representation of Microsoft Corporation in *Sun Microsystems Inc. v. Microsoft Corporation*; representation of Affymetrix Inc. (bio-technology company) in domestic and international patent disputes; representation of Lucent Technologies in international technology arbitration; representation of the City of Monterey in U.S. Supreme Court appeal, *City of Monterey v. Del Monte Dunes*, 119 S.Ct. 1624 (1999); *pro bono* representation of low income tenants in landlord-tenant disputes.

COUDERT BROTHERS, New York; Hong Kong

Attorney, 1995 (New York), 1996-97 (Hong Kong)

Duties included: representation of clients in arbitrations seated throughout Asia and Europe and administered by various arbitral institutions; coordination of international litigation for Asian clients.

THE HONORABLE MELVIN BRUNETTI, United States Court of Appeals for the Ninth Circuit, Reno Nevada, *Judicial Law Clerk*, 1994-95

THE HONORABLE BARBARA A. CAULFIELD, United States District Court for the Northern District of California, San Francisco, California, *Judicial Extern*, Fall 1993

PROFESSIONAL QUALIFICATIONS

Member of the New York Bar (1996-Present)

Foreign Licensed Attorney, Hong Kong (1996-97)

Member of the California Bar (1997-Present) (inactive status since 2002)

EDUCATION

YALE LAW SCHOOL

Master of Laws, 2000; Recipient of the Howard M. Holtzmann Fellowship, 2001

UNIVERSITY OF CALIFORNIA, HASTINGS COLLEGE OF THE LAW

Juris Doctor, 1994

Order of the Coif; Senior Executive Editor, *Hastings Law Journal*

Distinguished Service Award as a Board Member on the *Hastings Law Journal*

UNIVERSITY OF CALIFORNIA at BERKELEY

Bachelor of Arts in English Literature, 1991

SELECTED PRESENTATIONS

KEYNOTE SPEECHES

- Keynote, Stockholm Arbitration Day, Stockholm, Sweden, (anticipated) September 11, 2020
- Keynote, Technology and Informatics as Great Democratizers in International Arbitration, Young ICCA Skills Training Workshop, (anticipated) April 16, 2020
- Keynote, Arbitrator Intelligence, PepperHamilton, Philadelphia, Pennsylvania, October 11, 2019
- Keynote, *Moneyball for Arbitrators*, GAR Live, Stockholm, Sweden, May 21, 2019
- Keynote, *The World Is Not Enough*, Vienna Arbitration Days, Vienna, Austria, April 2, 2019
- Keynote Speaker, *Technology and Arbitrator Selection*, Helsinki Arbitration Day, Helsinki, Finland, May 28, 2018
- Keynote, *Is International Arbitration in a Race to the Top?*, Cambridge Arbitration Day, Cambridge, England, March 3, 2018
- Keynote, *The World Is Not Enough*, 8th Annual Arbitration Conference: Strategic Choices in International Arbitration, *Les Jeune Avocats*, New York, New York, October 7, 2016
- Keynote, *Third-Party Funding in International Arbitration*, Singapore Academy of Law, Singapore, November 23, 2015
- Keynote, Vis Premoot at Austrian Supreme Court, Vienna, Austria, March 27, 2015
- Keynote, Public Interest / Private Dispute—Transparency in Investment Arbitration, White & Case (co-sponsored by Sciences Po Law School Human Rights Clinic), Paris, France, November 12, 2014
- Keynote, *The Role of Global Lawyers in Ensuring Social Responsibility, Human Rights and the International Legal Order*, HumAk Forum, Oslo, Norway, August 31, 2010

PRESENTATIONS

- Presenter, *Technology and Diversity in International Arbitration*, Dutch Arbitration Day, October 18, 2018
- Presenter, *Third-Party Funding in International Arbitration*, Tbilisi Arbitration Day, October 8, 2018
- Convenor and Presenter, Launch of ICCA-Queen Mary Report on Third-Party Funding, ICCA Congress, Sydney, Australia, April 16, 2018
- Presenter, *Revisiting Chromalloy: Enforcing Vacated Awards Under the New York Convention*, Sidley & Austin LLP, New York, New York, March 8, 2016
- Presenter, *The Effect of the Legal Seat on Counsel Ethics in International Arbitration*, ABA Section on International Law, New York, New York, March 27, 2016
- Co-Organizer, *Arbitrator Behavior Workshop*, and Presenter, *Information and Arbitrator Accountability*, PluriCourts, University of Oslo, Norway, May 23, 2016
- Presenter, *Ethics in International Arbitration: Arbitrators Counsel and Expert Witnesses*, University of Stockholm, May 12, 2016
- Counsel Ethics in International Arbitration*, University of Southern California, Gould School of Law, California, April 15, 2016
- Regulating Counsel in International Arbitration*, Symposium by the Inter-American Bar Association (IABA), D.C. Chapter, in partnership with Georgetown International Arbitration Society (GIAS), Georgetown University Law Center, Washington, DC, April 11, 2016
- Moderator and Convenor, Inaugural Detlev F. Vagts Roundtable, American Society of International Law Annual Meeting, April 1, 2016
- Panelist, *Challenges to Developing International Arbitration in Africa*, Penn State International Arbitration Day, March 13, 2016

CATHERINE A. ROGERS

- Commentator on draft revisions to UNIDROIT Principles of International Commercial Contracts, UNIDROIT Consultation Meeting, Oslo, Norway, March 4, 2016
- Presenter, Panel on Legal Ethics 32nd AAA/ICC/ICSID Joint Colloquium, Washington, DC, December 11, 2015
- Presenter, *Arbitrator Conflicts of Interest with Third-Party Funders*, IBA Conference on Third-Party Funders in International Arbitration, London, England, December 3, 2015
- Presenter, *Arbitrator Ethics in Investment Arbitration*, 50th Anniversary of ICSID, Xi'an, China, November 26, 2015
- Presenter, *Transparency in Arbitrator Selection*, 4th Asia Pacific ADR Conference, Seoul, Korea, November 3, 2015
- Presenter, *Plenary Session on the Arbitrator Selection Process*, Annual Meeting of the College of Commercial Arbitrators, New York, New York, October 24, 2015
- Presenter, *Third-Party Funding in International Arbitration*, ICC Conference, Vancouver, Canada, October 23, 2015
- Presenter, *Counsel Ethics in International Arbitration*, Ethics Breakfast, New York International Arbitration Center, New York, September 22, 2015
- Presenter, 10th Annual ICC Conference, New York, New York, September 21, 2015
- Presenter, *Transparency in Arbitrator Selection and Arbitrator Intelligence*, Iguassu Falls, Brazil, September 14, 2015
- Presenter, *Investment Arbitration and Legal Elites*, PluriCourts Conference, Oslo, Norway, August 27, 2015
- Presenter and Panel Moderator, *Ethics in International Arbitration*, Ghana, (video) for July 16-17, 2015
- Book Launch, *Ethics in International Arbitration*, World Bank, Washington DC (hosted by ICSID & ASIL), May 14, 2015
- Presenter, *Third-Party Funding in International Arbitration*, American Bar Association, Section on International Law, Washington DC, April 29, 2015
- Presenter and Panel Moderator, Penn State International Arbitration Day, New York, New York (hosted by White & Case), April 17, 2015
- Faculty Workshop, *Ethics in International Arbitration*, University of Texas, Austin, Texas, April 2, 2015
- Faculty Workshop, *Ethics in International Arbitration*, UCLA, Los Angeles, California, March 11, 2015
- Co-Organizer and Speaker on Multiple Panels, Annual Palestine International Arbitration Conference, Ramallah, Palestine, February 25 & 27, 2015
- Panelist, Vienna Arbitration Days, on Arbitrator Selection Process, Vienna, Austria, February 14, 2015
- Presenter, *What You Need to Know About Global Legal Ethics*, Continuing Legal Education Program (co-sponsored by Pepperdine University and SheppardMullen law firm), Los Angeles, California, January 12, 2015
- Presenter, *Experts, Partisans & Hired-Guns: the Ethics of Expert Witnesses*, Unione des Avocats Internationales, Florence, Italy, October 31, 2014
- Panelist, *Judicial Education in International Arbitration*, University of Missouri Law School, Columbus, Missouri, October 10, 2014
- Presenter, *Ethics in International Arbitration* (book presentation), Duke Law School, North Carolina, October 2, 2014
- Conference Co-Organizer and Panel Moderator, *The Future of Counsel Ethics in International Arbitration*, Queen Mary University of London, London, September 11, 2014
- Speaker and Co-Organizer, *Implementing Arbitrator Ethics*, Conference for USAID-sponsored project, Tblisi, Georgia, June 2014
- Moderator, *Ethics Panel*, and Presenter, *Arbitrator Challenges: Too Many or Not Enough?*, Fordham Annual Arbitration and Mediation Conference, June 12-13, 2013, New York, New York

CATHERINE A. ROGERS

Book Presentation, *Ethics in International Arbitration*, New York International Arbitration Center, June 11, 2013, New York, New York

Commentator on Mahdev Mohan, *New Regulatory Space: Extractives, Human Rights & Investment Law in Southeast Asia*, June 5, 2014, Singapore

International Bar Association Toronto "International Arbitration Today," May 29, 2014, Toronto, Canada

Presenter and Discussion Leader, *International Arbitrator Information Project*, Center for International Legal Studies, Salzburg, Austria, May 22, 2014

Arbitrator Intelligence, International Arbitration Section of the New York City Bar, New York, New York, May 13, 2014

Panel Moderator, 10th Annual Leading Arbitrators' Conference, April 14, 2014, Vienna, Austria

Panelist, *Closing Plenary* of Bi-Annual Congress of the International Council for Commercial Arbitration, Miami, Florida April 8, 2014

Discussion Leader, Presentation of Task Force on Third Party Funding to the Membership at the Bi-Annual Congress of the International Council for Commercial Arbitration, Miami, Florida, April 8, 2014

Discussant, Panel for International Arbitration Academic Interest Group, Bi-Annual Congress of the International Council for Commercial Arbitration, Miami, Florida, April 7, 2013

Presentation, Board of Governors of the International Council for Commercial Arbitration, April 6, 2014, Miami, Florida

Presenter, ABA Dispute Resolution Section, Florida April 4, 2014, Miami, Florida

Presenter, Georgetown Law School Faculty Workshop on forthcoming book *Ethics in International Arbitration*, March 18, 2014, Washington DC

Panel Moderator, *Jan Paulsson's The Idea of Arbitration*, February 13, 2014, London School of Economics, London, England

Program Organizer, *Interactive Skills Training Workshop on International Arbitration*, Ramallah, Palestine, December 9, 2013

Conference Organizer and Presenter, *The Jerusalem Arbitration Center: Challenges and Opportunities*, Ramallah, Palestine, December 8, 2013

Speaker, Faculty Workshop on *Developments in Legal Education*, Wellington, New Zealand, November 29, 2013

Speaker, AMINZ Breakfast Meeting, *Third-Party Funding in International Arbitration*, November 28, 2013, Wellington, New Zealand

Lecturer, *Global Legal Ethics*, Wellington Bar Society, November 27, 2013, Wellington, New Zealand

Special Lecturer, Annual New Zealand Dispute Settlement Lecture of 2013, *Arbitrator Selection, Transparency and Stakeholders' Interests*, Auckland New Zealand, November 26, 2013

Luncheon Speaker, *The IBA Guidelines on Party Representation in International Arbitration*, Bankside Chambers, Northern Club, Auckland, New Zealand, November 26, 2013

Speaker, *International Arbitrator Information Project*, Corporate Council International Arbitration Group, Paris, France, October 21, 2013

Speaker, *Council Ethics in International Arbitration*, College of Commercial Arbitrators, October 18, 2013 New Mexico

Presenter, *Third-Party Funding of International Arbitration*, Columbia Law School, September 20, 2013.

Presenter, *Arbitrator Selection and Ethics*, International Energy and Minerals Arbitration, September 17, 2013, Toronto, Canada.

Presenter, *Jurisdictional Challenges in International Arbitration*, ICC-Florence University Summer Program, Florence, Italy, July 22, 2013

Panelist, *The Future of International Law*, Conference on Joel Trachtman's Book, Swansea, Wales, June 7, 2013.

CATHERINE A. ROGERS

- Presenter, *When the Bad Guys Are Wearing White Hats*, Symposium on Chevron-Ecuador Litigation, Stanford Law School, Palo Alto, California, February 8, 2013.
- Faculty Workshop, *The Politics of Investment Arbitrators*, University of California, Hastings College of Law, California, February 4, 2012.
- Presenter, *The Politics of Investment Arbitrators*, Symposium on the Law and Politics of Investment Arbitration, Santa Clara Law School, Santa Clara, California, February 1, 2012.
- Panelist, Conference on the Jerusalem Arbitration Center, Columbia Law School, New York, New York, November 22, 2012.
- Panelist, *Ethics in International Arbitration*, New Developments in International Arbitration, Annual Meeting of the College of Commercial Arbitrators, New York, New York, October 27, 2012.
- Panelist, *The Jerusalem Arbitration Center*, Conference on Regionalism in International Arbitration, British Institute of International & Comparative Law, London, England, June 19, 2012.
- Participant, *Judicial Delegation on International Arbitration for Chinese Judges*, International Judicial Academy, Training Sessions in Guangzhou and Beijing, April 11-17, 2012.
- Panelist, *International Legal Ethics*, ASIL Annual Conference, March 31, 2012.
- Presenter, *Ethics in International Arbitration*, Faculty Workshop, University of Iowa Law School, Iowa City, Iowa, March 29, 2012.
- Expert, *Selection and Regulation of Investment Arbitrators*, OECD Freedom of Investment Roundtable, Paris, France, March 20, 2012.
- Presenter and Conference Organizer, *Teach-In on International Arbitration*, Ramallah, Palestine, December 17-18, 2011
- Panelist, *The Restatement as "New Rules,"* Sixth Annual Fordham Law School Conference on International Arbitration and Mediation, Fordham Law School, New York, New York, June 17, 2011.
- Presenter, Workshop on Restatement Project to European practitioners, Shearman & Sterling, Paris, France, November 22, 2010
- Co-Chair and Panel Moderator, *ITA -CCB Americas Workshop: Confronting Ethical Issues in International Arbitration*, Bogotá, Colombia, October 14-15, 2010.
- Panelist, *Ideology in international arbitration: a debate* (with Emmanuel Gaillard), International Bar Association, Annual Meeting, Vancouver, British Columbia, October 7, 2010
- Panelist, *Choice of Law in Global Legal Ethics*, International Legal Ethics Conference, Stanford Law School, Palo Alto, California, July 17-19, 2010
- Panelist, *The Expansion of International Arbitration into Public Realms*, Fifth Annual Fordham Law School Conference on International Arbitration and Mediation, Fordham Law School, New York, NY, June 14, 2010
- Presenter, *Guerilla Tactics in International Arbitration: Cultural Differences and Ethical Solutions*, *Arbitration Days*, Vienna, Austria, February 13, 2010
- Panel Moderator, *The Ethics of International Investment Arbitrators*, British Institute of International and Comparative Law, Thirteenth Investment Treaty Forum Public Conf., London, September 11, 2009
- Co-Chair and Panel Moderator, *Confronting Ethical Issues in International Arbitration*, Institute of Transnational Arbitration Annual Workshop, Dallas, Texas, June 18, 2009
- Presenter on Ethics Panel, *Leading Arbitrators in International Arbitration*, Vienna, Austria, April 6, 2009.
- Presenter, *Roundtable Regarding the Restatement of International Arbitration*, Princeton Law and Public Affairs, Princeton University, Princeton, New Jersey, February 21, 2009
- Presenter, *Lawyers and the Public/Private Divide in International Law*, UNLV Law School, Las Vegas, Nevada, October 2009
- Presenter, *The Global Advocate*, Globalization of the Legal Profession Conference, Harvard Law School, Cambridge, Massachusetts, November 21, 2009

CATHERINE A. ROGERS

- Presenter, *Where Do We Go from Here?*, Investment Treaties and Alternatives of Investor State Dispute Resolution, UNCTAD in cooperation with the Ukrainian Ministry of Justice, Kiev, Ukraine, June 3, 2008
- Presenter, *The Effect of Party Agreement on Statutory Standards for Arbitrator Bias*, International Law Weekend, New York, New York, October 26, 2007
- The Role and Function of Ethics in International Arbitration*, Annual Conference of the *Deutsch-Amerikanische Juristen-Vereinigung* (German-Am.Bar Association), Washington D.C., October 6, 2007
- Defending Intellectual Property Rights in a Globalized Economy: Current Practices and Recent Trends*, European Patent Office Seminar, "Growing Business with IP," Milan, Italy, July 10, 2007.
- Arbitrators' Codes of Ethics: Various Perspectives*, at the International Arbitration and Mediation "Ius et Lex" Institution Conference, Warsaw, Poland, June 14, 2007
- Law Applicable in Determining Rights and Duties of Arbitrators*, at 100th Anniversary of the Department of Law at Stockholm University, Symposium: *International Arbitrators: Private Judges, Service Providers or Both?*, Stockholm, Sweden, May 11, 2007
- Attorney Ethics in Arbitration Panel Discussion*, Leading Arbitrators' Conference, sponsored by JURIS PUBLISHING, Vienna, Austria, April 2, 2007
- The Arrival of the Have-Nots in International Arbitration*, University of Nevada Law School Symposium, Las Vegas, Nevada, January 28, 2007
- The IBA Guidelines on Conflicts of Interest for International Arbitrators*, The Practice of International Arbitration, SDA Bocconi & the Milan Chamber of National and International Arbitration, Milan, Italy, December 1, 2006
- Cultural Clashes and Conflicting Ethics: The Professional Conduct of Attorneys in International Arbitration Practice*, Bucerius Law School and the *Deutsch-Amerikanische Juristen-Vereinigung* (German-American Bar Association), Hamburg, Germany, June 22, 2006
- Cultural Clashes and Conflicting Ethics: The Professional Conduct of Attorneys in International Arbitration Practice*, the *Deutsch-Amerikanische Juristen-Vereinigung* (German-American Bar Association), Stuttgart, Germany June 28, 2006
- Transparency in International Commercial Arbitration*, Symposium, University of Kansas Law School, Lawrence, Kansas, November 15, 2005
- Misbehaving Arbitrators and Consenting Parties: Enforcing Standards of Impartiality in International Arbitration*, Ethics Workshop Series, Villanova Law School, Villanova, Pennsylvania, November 10, 2005
- The Vocation of International Arbitrators*, Faculty Workshop, Loyola Law School, Los Angeles, California, October 7, 2005
- The Vocation of International Arbitrators*, *Vanderbilt International Law Roundtable*, Nashville, Tennessee, September 15, 2005
- Arbitration Clause Drafting for Project Finance*, Lecture to in-house legal department, International Finance Corporation, Washington, D.C., April 6, 2005
- Enforcement of Bias-Tainted Awards*, Quinnipiac-Yale Dispute Resolution Workshop, Harford, Connecticut, April 4, 2005
- Regulating International Arbitrators*, The Stanford-Yale Junior Faculty Forum, Yale Law School, New Haven, Connecticut, June 4, 2004
- The Effects of the Private Attorney General on U.S. Tort Law*, Università di Brescia, Italy, April 27, 2004
- The U.S. Tort System as a Regulatory Tool*, Università Cattaneo, Castellanza, Italy, May 30, 2003 (in Italian).
- Lessons for the Comparativist from the Louisiana Experience*, Università degli Studi Dell'Insubria, Lake Como, Italy, May 23, 2003

CATHERINE A. ROGERS

Lawyer Ethics in Comparative Perspective, University of Detroit-Mercy Faculty of Law, Detroit, Michigan, December 6, 2002

Proposals to Expel Palestinians from the Occupied Territories as a Catalyst for a Civil Adjudication Campaign, University of Iowa Law School, Iowa City, Iowa, October 15, 2002

Between Cultural Boundaries and Legal Traditions: Ethics in International Arbitration, Stanford-Yale Junior Faculty Forum, Stanford Law School, Palo Alto, California, June 2, 2001

The Pros and Cons of International Arbitration, Presentation for Worldwide Conference of In-House Attorneys for Lucent Technologies, Murray Hill, New Jersey, October 12, 1999

CATHERINE A. ROGERS

AWARDS

Scholar in Residence, Wilmer, Cutler, Pickering, Hale & Dorr, Fall 2016, Spring 2019
Fellowship recipient, PluriCourts - Centre for the Study of the Legitimate Roles of the Judiciary in the Global Order, University of Oslo, Spring 2016
Invitee to the Stanford-Yale Junior Faculty Forum, 2004 (*in the field of law and humanities*)
Recipient, 20th Annual CPR Professional Article Award for 2002
Invitee to the Stanford-Yale Junior Faculty Forum, 2001 (*in the field of private international law*)

EDITORSHIPS & PEER REVIEW ACTIVITIES

External PhD Referee (by invitation), University of Dundee, Scotland (2016)
Manuscript Referee (by invitation), OXFORD UNIVERSITY PRESS (2011, 2012 & 2015)
Manuscript Referee (by invitation), CAMBRIDGE UNIVERSITY PRESS (2013)
Manuscript Referee (by invitation), YEARBOOK ON INTERNATIONAL INVESTMENT LAW & POLICY (2009)
Manuscript Referee (by invitation), MELBOURNE JOURNAL OF INTERNATIONAL LAW (2005) (Australia)
Manuscript Referee (by invitation), STANFORD LAW REVIEW (2012)
Manuscript Referee (by invitation), LEGAL ETHICS (New Zealand)(2010)
Special Volume Editor, TRANSNATIONAL DISPUTE MANAGEMENT (2013)
Associate Editor, TRANSNATIONAL DISPUTE MANAGEMENT (2007-Present)

LAW SCHOOL SERVICE

PENN STATE LAW

Co-Chair, University-wide Conflicts of Interest Task Force, 2015-16
Chair, Appointments Committee, 2009-10 & 2010-11
Member, Appointments Committee, 2012-13, 2018-19
Supervisor of multiple SJD dissertations and member of multiple SJD review panels
Member, Promotion & Tenure Committee, 2008-Present
Faculty Advisor for Penn State team in the WILLEM C. VIS INTERNATIONAL COMMERCIAL ARBITRATION MOOT, Vienna, Austria, 2008-Present
Faculty Advisor, Penn State International Law Review, 2012-17
Faculty Sponsor, International Arbitration Student Association, 2014-Present
Member, Curriculum Committee, 2013-14
Member, International Programs Committee, 2013-14
Member, Transition Committee, 2013

LOUISIANA STATE UNIVERSITY LAW CENTER

Appointments Committee, Fall 2001, Fall 2004, Spring 2005
Bi-Jural Committee, Fall 2001
Chair Faculty Search Committee, 2004-05; Faculty Workshops Committee, Fall 2001, Fall 2002
Grading Policy Committee, Fall 2003, Spring 2004, Fall 2004, Spring 2005
Graduate Program Committee, Fall 2002-03, 2004-05, Spring 2006
Fall 2006; Spring 2007; Summer Program Committee, Fall 2005; Fall 2006; Curriculum Committee, 2005-06

LANGUAGES: English (native speaker) and Italian (conversational), French (basic).